

# R-CLOSEDNESS AND UPPER SEMICONTINUITY

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**ABSTRACT.** Let  $\mathcal{F}$  be a pointwise almost periodic decomposition of a compact metrizable space  $X$ . Then we show that  $\mathcal{F}$  is  $R$ -closed if and only if  $\hat{\mathcal{F}}$  is usc. On the other hand, let  $G$  be a flow on a compact metrizable space and  $H$  a finite index normal subgroup. Then  $G$  is  $R$ -closed if and only if so is  $H$ . Moreover, if there is a finite index normal subgroup  $H$  of an  $R$ -closed flow  $G$  on a compact manifold such that the orbit closures of  $H$  consist of codimension  $k$  compact connected submanifolds and “few singularities” for  $k = 1$  or  $2$ , then the orbit class space of  $G$  is a compact  $k$ -dimensional manifold with corners. In addition, let  $v$  be a nontrivial  $R$ -closed vector field on a connected compact 3-manifold  $M$ . Then one of the following holds: 1) The orbit class space  $M/\hat{v}$  is  $[0, 1]$  or  $S^1$  and each interior point of  $M/\hat{v}$  is two dimensional. 2)  $\text{Per}(v)$  is open dense and  $M = \text{Sing}(v) \sqcup \text{Per}(v)$ . 3) There is a nontrivial non-toral minimal set.

## 1. PRELIMINARIES

In [ES], they have shown that if a continuous mapping  $f$  of a topological space  $X$  in itself is either pointwise recurrent or pointwise almost periodic then so is  $f^k$  for each positive integer  $k$ . This result is extended into flow cases (see Theorem 2.24, 4.04, and 7.04 [GH]). In [Y3], one has shown the analogous result for  $R$ -closed homeomorphisms. In this paper, we extend into the  $R$ -closed flow cases.

The leaf space of a compact continuous codimension two foliation  $\mathcal{F}$  of a compact manifold  $M$  is a compact orbifold [E], [EMS], [E2], [Vo], [Vo3]. On the other hand, there are non- $R$ -closed compact foliations and non  $R$ -closed flows each of whose orbits is compact for codimension  $q > 2$  [S], [EV], [Vo2]. In [Y2], the author has shown that the set of  $R$ -closed decompositions on compact manifolds contains properly the set of codimension one or two foliations which is minimal or compact. In this paper, for  $k = 1$  or  $2$ , we show that the quotient space of a codimension  $k$  compact connected decomposition with “few singularities” defined by a flow is a compact  $k$ -dimensional manifold. In addition, let  $v$  a nontrivial  $R$ -closed vector field on a connected compact 3-manifold. Then one of the following holds: 1) The orbit class space  $M/\hat{v}$  is  $[0, 1]$  or  $S^1$  and each interior point of  $M/\hat{v}$  is two dimensional. 2)  $\text{Per}(v)$  is open dense and  $M = \text{Sing}(v) \sqcup \text{Per}(v)$ . 3) There is a nontrivial non-toral minimal set.

By a decomposition, we mean a family  $\mathcal{F}$  of pairwise disjoint nonempty subsets of a set  $X$  such that  $X = \sqcup \mathcal{F}$ . Let  $\mathcal{F}$  be a decomposition of a topological space  $X$ . For any  $x \in X$ , denote by  $L_x$  or  $\mathcal{F}(x)$  the element of  $\mathcal{F}$  containing  $x$ . For a subset  $A \subseteq X$ ,  $A$  is saturated if  $A = \sqcup_{x \in A} L_x$ .  $\mathcal{F}$  is upper semicontinuous (usc) if

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*Date:* November 7, 2012.

The author is partially supported by the JST CREST Program at Department of Mathematics, Hokkaido University.

each element of  $\mathcal{F}$  is both closed and compact and, for any  $L \in \mathcal{F}$  and for any open neighbourhood  $U$  of  $L$ , there is a saturated neighbourhood of  $L$  contained in  $U$ . Note that we can choose the above  $U$  open.  $\mathcal{F}$  is  $R$ -closed if  $R := \{(x, y) \mid y \in \overline{L_x}\}$  is closed.  $\mathcal{F}$  is pointwise almost periodic if the set of all closures of elements of  $\mathcal{F}$  also is a decomposition. Then denote by  $\hat{\mathcal{F}}$  the decomposition of closures and by  $M/\hat{\mathcal{F}}$  the quotient space, called the orbit class space. By a flow, we mean a continuous action of a topological group  $G$  on a topological space  $X$ . For a flow  $G$ , denote by  $\mathcal{F}_G$  the set of orbits of  $G$ . Recall a flow  $G$  is  $R$ -closed if the set  $\mathcal{F}_G$  of orbits is an  $R$ -closed decomposition. Then  $G$  is  $R$ -closed if and only if  $R := \{(x, y) \mid y \in \overline{G(x)}\}$  is closed. Recall that each  $R$ -closed decomposition is pointwise almost periodic. For an  $R$ -closed vector field  $v$ , write  $M/v := M/\hat{\mathcal{F}}_v$ .

## 2. R-CLOSEDNESS AND UPPER SEMI CONTINUITY

Now we show the following key lemma.

**Lemma 2.1.** *Let  $\mathcal{F}$  be a decomposition of a Hausdorff space  $X$ . If  $\mathcal{F}$  is pointwise almost periodic and  $\hat{\mathcal{F}}$  is usc, then  $\mathcal{F}$  is  $R$ -closed. If  $X$  is compact metrizable and  $\mathcal{F}$  is  $R$ -closed, then  $\mathcal{F}$  is pointwise almost periodic and  $\hat{\mathcal{F}}$  is usc.*

*Proof.* Suppose that  $\mathcal{F}$  is pointwise almost periodic and that  $\hat{\mathcal{F}}$  is usc. By Proposition 1.2.1 [D2] (p.13), we have that  $X/\mathcal{F}$  is Hausdorff. By Lemma 2.3 [Y], we obtain that  $\mathcal{F}$  is  $R$ -closed. Conversely, suppose that  $X$  is compact metrizable and  $\mathcal{F}$  is  $R$ -closed. By Lemma 1.6 [Y], we have that  $\mathcal{F}$  is pointwise almost periodic and that the quotient map  $p : X \rightarrow X/\hat{\mathcal{F}}$  is closed. Since  $X$  is compact Hausdorff, we obtain that each element of  $\hat{\mathcal{F}}$  is compact. By Proposition 1.1.1 [D2](p.8), we have that  $\mathcal{F}$  is usc.  $\square$

Lemma 2.3 [Y] implies the following result.

**Proposition 2.2.** *Let  $\mathcal{F}$  be a pointwise almost periodic decomposition of a compact metrizable space  $X$ . The following are equivalent:*

- 1)  $\mathcal{F}$  is  $R$ -closed.
- 2)  $\hat{\mathcal{F}}$  is usc.
- 3)  $X/\hat{\mathcal{F}}$  is Hausdorff.

## 3. INHERITED PROPERTIES OF R-CLOSED FLOWS

Recall that a subgroup  $H$  of a topological group  $G$  is syndetic if there is a compact subset of  $G$  such that  $K \cdot H = G$ .

**Lemma 3.1.** *Let  $G$  be a flow on a topological space  $X$  and  $H$  a syndetic subgroup of  $G$ . If  $H$  is  $R$ -closed, then so is  $G$ . Moreover  $\overline{G \cdot x} = K \cdot \overline{H \cdot x}$  for any  $x \in Y$  where  $K$  is a compact subgroup with  $K \cdot H = G$ .*

*Proof.* For any flow  $\pi : G \times Y \rightarrow Y$  on a topological space  $Y$ , we claim that  $K \cdot C$  is closed for a closed subset  $C$  of  $Y$ . Indeed, fix a point  $x \in Y - K \cdot C$ . Then  $Y - C$  is an open neighborhood of  $K^{-1} \cdot x$  and so  $\pi^{-1}(Y - C)$  is an open neighborhood of  $K^{-1} \times \{x\}$ . Since  $K^{-1} \times \{x\}$  is compact, by the tube theorem, there is an open neighborhood  $U$  of  $x$  such that  $K^{-1} \times U \subseteq \pi^{-1}(Y - C)$ . Then  $K^{-1} \cdot U \subseteq Y - C$ . Therefore  $(K^{-1} \cdot U) \cap C = \emptyset$  and so  $U \cap (K \cdot C) = \emptyset$ . This shows that  $K \cdot C$  is closed. Let  $R_G := \{(x, y) \mid y \in \overline{G \cdot x}\}$  and  $R_H := \{(x, y) \mid y \in \overline{H \cdot x}\}$ . Suppose that  $H$  is  $R$ -closed. Then  $K \cdot \overline{H \cdot x} \subseteq \overline{G \cdot x} = \overline{K \cdot (H \cdot x)} \subseteq K \cdot \overline{H \cdot x}$ . By the above

claim, we have  $\overline{G \cdot x} = \overline{(K \cdot H) \cdot x} = \overline{K \cdot \overline{H \cdot x}} = K \cdot \overline{H \cdot x}$ . Consider an action of  $G$  on  $X \times X$  by  $g \cdot (x, y) := (x, g^{-1} \cdot y)$ . Since  $K$  is compact and  $R_H$  is closed, the above claim implies that  $R_G = K \cdot R_H$  is closed.  $\square$

For a subset  $V$ , write  $\hat{\mathcal{F}}(V) := \text{Sat}_{\hat{\mathcal{F}}}(V) = \cup_{x \in V} \hat{\mathcal{F}}(x)$ . We generalize Lemma 1.1 [Y3] to flows. This statement is an analogous result for recurrence and pointwise almost periodicity (see Theorem 2.24, 4.04, and 7.04 [GH]).

**Lemma 3.2.** *Let  $G$  a flow on a compact metrizable space  $X$  and  $H$  a finite index normal subgroup. Then  $G$  is  $R$ -closed if and only if so is  $H$ .*

*Proof.* By Lemma 3.1, the  $R$ -closedness of  $H$  implies one of  $G$ . Conversely, suppose that  $G$  is  $R$ -closed. Let  $n$  be the index of  $H$  and  $\{f_1, \dots, f_{n-1}\}$  a subset of  $G$  such that  $G = H \sqcup Hf_1 \sqcup \dots \sqcup Hf_{n-1}$ . Put  $\mathcal{F} := \mathcal{F}_G$ . By Corollary 1.4 [Y], we have that  $G$  is pointwise almost periodic. By Theorem 2.24 [GH], we have that  $H$  is also pointwise almost periodic. By Proposition 2.2,  $\hat{\mathcal{F}}$  is usc and it suffices to show that  $\hat{\mathcal{F}}_H$  is usc. Note that  $\mathcal{F}_H(x) \subseteq \mathcal{F}(x)$  and so  $\hat{\mathcal{F}}_H(x) \subseteq \hat{\mathcal{F}}(x)$ . For  $x \in X$  with  $\hat{\mathcal{F}}_H(x) = \hat{\mathcal{F}}(x)$  and for any open neighbourhood  $U$  of  $\hat{\mathcal{F}}(x) = \hat{\mathcal{F}}_H(x)$ , since  $\hat{\mathcal{F}}$  is usc, there is a  $\hat{\mathcal{F}}$ -saturated open neighbourhood  $V$  of  $\hat{\mathcal{F}}(x)$  contained in  $U$ . Since  $\hat{\mathcal{F}}_H(x) \subseteq \hat{\mathcal{F}}(x)$ , we have that  $V$  is also a  $\hat{\mathcal{F}}_H$ -saturated open neighbourhood  $V$  of  $\hat{\mathcal{F}}(x)$ . Fix any  $x \in X$  with  $\hat{\mathcal{F}}(x) \neq \hat{\mathcal{F}}_H(x)$ . Put  $\hat{L}_1 := \hat{\mathcal{F}}_H(x)$  and  $\{\hat{L}_2, \dots, \hat{L}_k\} := \{\hat{\mathcal{F}}(f_l(x)) \mid l = 1, \dots, n-1\}$  such that  $\hat{L}_i \cap \hat{L}_j = \emptyset$  for any  $i \neq j \in \{1, \dots, k\}$ . Let  $\hat{L}' = \hat{L}_2 \sqcup \dots \sqcup \hat{L}_k$ . Then  $\hat{L}_1$  and  $\hat{L}'$  are closed and  $\hat{\mathcal{F}}(x) = \hat{L}_1 \sqcup \dots \sqcup \hat{L}_k = \hat{L}_1 \sqcup \hat{L}'$ . For any sufficiently small  $\varepsilon > 0$ , let  $U_{1,\varepsilon} = B_\varepsilon(\hat{L}_1)$  (resp.  $U'_\varepsilon = B_\varepsilon(\hat{L}')$ ) be the open  $\varepsilon$ -ball of  $\hat{L}_1$  (resp.  $\hat{L}'$ ). Then  $\overline{U_{1,\varepsilon/2}} \subseteq U_{1,\varepsilon}$  and  $\overline{U'_{\varepsilon/2}} \subseteq U'_\varepsilon$ . Since  $\varepsilon$  is small and  $X$  is normal, we obtain  $U_{1,\varepsilon} \cap U'_\varepsilon = \emptyset$ . Since  $\hat{\mathcal{F}}$  is usc, there are neighbourhoods  $V_{1,\varepsilon} \subseteq U_{1,\varepsilon/2}$  (resp.  $V'_\varepsilon \subseteq U'_{\varepsilon/2}$ ) of  $\hat{L}_1$  (resp.  $\hat{L}'$ ) such that  $V_{1,\varepsilon} \sqcup V'_\varepsilon$  is an  $\hat{\mathcal{F}}$ -saturated neighbourhood of  $\hat{\mathcal{F}}(x)$ . Since  $\hat{\mathcal{F}}(x)$  is compact and  $V_{1,\varepsilon} \sqcup V'_\varepsilon$  is an open neighbourhood of  $\hat{\mathcal{F}}(x)$ , there are finitely many connected components of  $V_{1,\varepsilon} \sqcup V'_\varepsilon$  each of which intersects  $\hat{\mathcal{F}}(x)$  and whose union is also a covering of  $\hat{\mathcal{F}}(x)$ . Let  $W_1$  be the finite union in  $V_{1,\varepsilon} \sqcup V'_\varepsilon$ . Since  $W_1 \supseteq \hat{\mathcal{F}}(x)$  and  $g(C) \cap \hat{\mathcal{F}}(x) \neq \emptyset$  for any  $g \in G$  and any connected component  $C$  of  $W_1$ , we have  $G(W_1)$  also consists of finitely many connected components. Since  $G(W_1) \subseteq \hat{\mathcal{F}}(W_1) \subseteq \overline{G(W_1)}$ , we have that  $\hat{\mathcal{F}}(W_1) \subseteq V_{1,\varepsilon} \sqcup V'_\varepsilon$  also consists of finitely many connected components. Let  $W_{11}, \dots, W_{1l}$  be the connected components of  $\hat{\mathcal{F}}(W_1)$  intersecting  $V_{1,\varepsilon}$ . Then  $W := W_{11} \sqcup \dots \sqcup W_{1l} \subset V_{1,\varepsilon}$  is a neighbourhood of  $\hat{L}_1 = \hat{\mathcal{F}}_H(x)$  with  $W_{1i} \cap \hat{L}_1 \neq \emptyset$  for any  $i = 1, \dots, l$ . We show that  $W$  is  $\hat{\mathcal{F}}$ -saturated. Indeed, since  $\hat{\mathcal{F}}(W) \cap V_{1,\varepsilon} = W$  and  $h(W_{1i}) \cap \hat{L}_1 \neq \emptyset$  for any  $h \in H$  and  $i = 1, \dots, l$ , we have  $V_{1,\varepsilon} \supseteq W = H(W)$ . Since  $\hat{\mathcal{F}}_H(W) \subseteq \overline{H(W)} \subseteq U_{1,\varepsilon}$ , we have  $\hat{\mathcal{F}}_H(W) \cap V'_\varepsilon = \emptyset$ . Since  $V_{1,\varepsilon} \sqcup V'_\varepsilon$  is an  $\hat{\mathcal{F}}$ -saturated neighbourhood of  $\hat{\mathcal{F}}(W)$ , we obtain  $\hat{\mathcal{F}}_H(W) \subseteq V_{1,\varepsilon}$  and so  $W = H(W) \subseteq \hat{\mathcal{F}}_H(W) \subseteq \hat{\mathcal{F}}(W) \cap V_{1,\varepsilon} = W$ . Thus  $W$  is a desired  $\hat{\mathcal{F}}_H$ -saturated neighbourhood of  $\hat{\mathcal{F}}_H(x)$  contained in  $W \subseteq U_{1,\varepsilon} = B_\varepsilon(\hat{\mathcal{F}}_H(x))$ .  $\square$

#### 4. CODIMENSION ONE OR TWO RESULTS

First, we consider the codimension one case.

**Proposition 4.1.** *Let  $G$  be an  $R$ -closed flow on a compact connected manifold  $X$ ,  $H$  a finite index normal subgroup of  $G$ , and  $V$  the union of orbit closures of  $H$*

which are codimension one connected elements. If there is a nonempty connected component of  $V$  which is open and consists of submanifolds, then  $M/\hat{\mathcal{F}}_G$  is a closed interval or a circle such that there are at most two elements whose codimension more than one.

Note that dimensions in the above statement are Lebesgue covering dimensions.

*Proof.* By Lemma 3.2, we have that  $H$  is also  $R$ -closed. Put  $\hat{\mathcal{F}} := \hat{\mathcal{F}}_H$ . By Proposition 2.2, we have that  $M/\hat{\mathcal{F}}$  is Hausdorff. Let  $U$  be the above open connected component of  $V$ . Put  $p : M \rightarrow M/\hat{\mathcal{F}}$  the canonical projection. By Theorem 3.3 [D], we have that  $p(U)$  is a 1-manifold. Since  $\hat{\mathcal{F}}$  is  $R$ -closed, each connected component of the boundaries of  $p(U)$  is a single point. Since  $U$  is open, we obtain that the boundaries  $\partial U := \overline{U} - \text{int } U$  have at least codimension two and so that  $M - \partial U$  is connected. This implies that  $M/\hat{\mathcal{F}}$  is a closed interval or a circle. Since  $G/H$  is a finite group acting  $M/\hat{\mathcal{F}}$  and since a finite union of closures is a closure of finite union, we have that  $M/\hat{\mathcal{F}}_G = (M/\hat{\mathcal{F}}_H)/(G/H)$  is either a closed interval or a circle and that there are at most two elements whose codimension more than one.  $\square$

Second, we consider the codimension two case. Consider the direct system  $\{K_a\}$  of compact subsets of a topological space  $X$  and inclusion maps such that the interiors of  $K_a$  cover  $X$ . There is a corresponding inverse system  $\{\pi_0(X - K_a)\}$ , where  $\pi_0(Y)$  denotes the set of connected components of a space  $Y$ . Then the set of ends of  $X$  is defined to be the inverse limit of this inverse system. By surfaces, we mean compact 2-dimensional manifolds with conners (i.e. locally modeled by  $[0, 1]^2$ ).

**Proposition 4.2.** *Let  $G$  be an  $R$ -closed flow on a compact manifold  $X$  and  $H$  a finite index normal subgroup of  $G$ . Suppose that all orbit closures of  $H$  are closed connected submanifolds. If all but finitely many closures have codimension two and finite exceptions have codimension more than two, then  $M/\hat{\mathcal{F}}_G$  is a surface.*

*Proof.* By Lemma 3.2, we have that  $H$  is also  $R$ -closed. Put  $\hat{\mathcal{F}} := \hat{\mathcal{F}}_H$ . Let  $L_1, \dots, L_k$  be all higher codimension elements of  $\hat{\mathcal{F}}$ . Removing higher codimensional elements, let  $M'$  be the resulting manifold,  $\hat{\mathcal{F}'}$  the resulting decomposition of  $M'$ . Then  $\hat{\mathcal{F}'}$  consists of codimension two closed connected submanifolds and is usc. By Theorem 3.12 [D3], we have that  $M'/\hat{\mathcal{F}'}$  is a surface  $S'$ . Then  $(M/\hat{\mathcal{F}}) - \{L_1, \dots, L_k\} \cong M'/\hat{\mathcal{F}'} = S'$ . We will show that  $S'$  has  $k$  ends. Indeed, since the exceptions  $L_i$  are finite, there are pairwise disjoint neighbourhoods  $U_i$  of them. Since  $\hat{\mathcal{F}}$  is usc, there are pairwise disjoint saturated neighbourhoods  $V_i \subseteq U_i$  of them. Since  $W_i - L_i$  is connected for any connected neighbourhoods  $W_i$  of  $L_i$ , each end of  $S'$  is corresponded to one of  $L_i$ . This shows that  $S'$  has  $k$  ends corresponding to  $L_i$ . Since  $M/\hat{\mathcal{F}}$  is compact metrizable, we have that  $M/\hat{\mathcal{F}}$  is an end compactification of  $M'/\hat{\mathcal{F}'}$  and so a surface  $S$ . Since  $G/H$  implies a finite group action on  $S$  and since any finite union of closures is the closure of finite union, we obtain that  $M/\hat{\mathcal{F}}_G \cong (M/\hat{\mathcal{F}}_H)/(G/H)$  is a surface.  $\square$

## 5. TORAL MINIMAL SETS

Recall that a vector field is trivial if it is identical or minimal. We obtain the following two statements for vector fields on 3-manifolds. For a flow  $v$  on a 3-manifold, a minimal set  $T$  of  $v$  is called a torusoid for  $v$  if there are an open

annulus  $A$  transverse to  $v$  and a circloid  $C$  in  $A$  whose saturation  $\text{Sat}_v(C)$  is  $T$  with  $C = T \cap A$ .

**Lemma 5.1.** *Let  $v$  be an  $R$ -closed vector field on a connected compact 3-manifold  $M$ . Then the union of torusoids is open and the quotient space is 1-dimensional.*

*Proof.* Let  $A$  be an annular transverse manifold of  $v$  and  $C \subset A$  a circloid whose saturation is a torusoid  $T$  with  $C = T \cap A$ . Take small connected neighbourhoods  $U_1, U_2 \subseteq \text{Sat}_v(A)$  of  $T$  such that the time one map  $f_v : U_1 \cap T \rightarrow U_2 \cap T$  is well defined. Since  $v$  is  $R$ -closed and so  $\hat{\mathcal{F}}_v$  is usc, there is an  $\hat{\mathcal{F}}_v$ -saturated open neighbourhood  $V \subseteq U_1 \cap U_2$  of  $T$ . Since  $T$  is  $\hat{\mathcal{F}}_v$ -saturated and connected, the connected component  $W$  of  $V$  is also an  $\hat{\mathcal{F}}_v$ -saturated open neighbourhood of  $T$ . Since  $W \subseteq V$ , we have that  $W_A := W \cap A \subseteq U_1 \cap U_2 \cap A$  and so  $f_{W_A} := f_v| : W_A \rightarrow W_A$  is well-defined. Since  $v$  is  $R$ -closed, the mapping  $f_{W_A}$  is a homeomorphism. Since  $T \cap A = C \subset W_A$  is  $f_v$  invariant and  $U_1, U_2$  are small, we have that  $W_A$  is connected. Let  $B$  be the union of the connected components of  $A - W_A$  which are contractible in  $A$ . Then  $B \cup W_A$  is an open annulus and  $\text{Sat}_v(B \cup W_A)$  is an  $\hat{\mathcal{F}}_v$ -saturated connected open neighbourhood of  $T$  which consists of torusoids. Indeed, define  $\text{Fill}_A(W_A)$  as follows:  $p \in \text{Fill}_A(W_A)$  if there is a simple closed curve in  $W \cap A$  which bounds a disk in  $A$  containing  $p \in A$ . Since each point of  $B$  is bounded by a simple closed curve in  $W_A$ , we have that  $B \sqcup W_A = \text{Fill}_A(W_A)$  and  $f' := f_v| : \text{Fill}_A(W_A) \rightarrow \text{Fill}_A(W_A)$  is a homeomorphism. Since  $C$  is a circloid and  $A$  is the annular neighbourhood, we obtain that  $\text{Fill}_A(W_A)$  is an open annulus. By the two point compactification of  $\text{Fill}_A(W_A)$ , we obtain the resulting sphere  $S$  and the resulting homeomorphism  $f_S$  with the two fixed points which are the added new points. Since  $v$  is  $R$ -closed, we have that  $M/\hat{v}$  is Hausdorff and so is  $\text{Fill}_A(W_A)/\hat{\mathcal{F}}_{f'}^1$ . By the construction,  $S/\hat{\mathcal{F}}_{f_S}$  is the two point compactification of  $\text{Fill}_A(W_A)/\hat{\mathcal{F}}_{f'}^1$ . Since  $M$  is normal, we obtain that  $S/\hat{\mathcal{F}}_{f_S}$  is Hausdorff and so  $f_S$  is  $R$ -closed. By Corollary 2.6 [Y2], we obtain that  $S$  consists of two fixed points and circloids. Then the open neighbourhood  $\text{Sat}_v(B \sqcup W_A) = \text{Sat}_v(\text{Fill}_A(W_A))$  of  $T$  consists of torusoids. Therefore the union of torusoids are open.  $\square$

Recall that a minimal set is trivial if a single orbit or the whole manifold.

**Lemma 5.2.** *Let  $v$  be an  $R$ -closed vector field on a connected compact 3-manifold  $M$ . Suppose that each two dimensional minimal set is torusoid. If there is a torusoid, then the orbit class space  $M/\hat{v}$  of  $M$  is a closed interval or a circle.*

*Proof.* Note that each minimal set which is not a torusoid is a closed orbit. By Lemma 5.1, the union of torusoids is open and the quotient space of it is a 1-manifold. Since  $v$  is  $R$ -closed, the each boundary component of it is a single minimal set. Since the each boundary component is a closed orbit and so is codimension more than 1, the union of torusoids is connected. This implies that  $M/\hat{v}$  is a closed interval or a circle.  $\square$

**Lemma 5.3.** *Let  $v$  be an  $R$ -closed vector field on a connected compact 3-manifold  $M$ . Suppose that each two dimensional minimal set is torusoid. If there are at least three distinct periodic orbits, then  $\text{Per}(v)$  is open dense and  $M = \text{Sing}(v) \sqcup \text{Per}(v)$ .*

*Proof.* Let  $p : M \rightarrow M/\hat{v}$  be the canonical projection. By Lemma 5.2, there are no two dimensional orbits closures. Therefore  $M = \text{Sing}(v) \sqcup \text{Per}(v)$ . Since  $\text{Sing}(v)$  is closed, we have that  $\text{Per}(v)$  is open. On the other hand, by Theorem

3.12 [D3], the quotient space of  $\text{Per}(v)$  is a 2-dimensional manifold with corner. Since  $M/\hat{v}$  is compact metrizable, by Urysohn's theorem, the Lebesgue covering dimension and the small inductive dimension are corresponded in  $M/\hat{v}$ . Hence the boundary  $\partial(p(\text{Per}(v)))$  of  $p(\text{Per}(v))$  has at most the small inductive dimension one. Since  $\partial(p(\text{Per}(v)))$  consists of singularities, we obtain that  $\partial(\text{Per}(v))$  has at most the small inductive dimension one and so the Lebesgue covering dimension one. Therefore  $M - \partial(\text{Per}(v))$  is connected and so  $M - \partial(\text{Per}(v)) = \text{Per}(v)$ . This shows that  $\text{Per}(v)$  is dense.  $\square$

Now, we state the following trichotomy that  $v$  is either “almost one dimensional” or “almost two dimensional” or with “complicated” minimal sets.

**Proposition 5.4.** *Let  $v$  be an nontrivial  $R$ -closed vector field on a connected compact 3-manifold  $M$ . Then one of the following holds:*

- 1) *The orbit class space  $M/\hat{v}$  of  $M$  is a closed interval or a circle and each interior point of the orbit class is two dimensional.*
- 2)  *$\text{Per}(v)$  is open dense and  $M = \text{Sing}(v) \sqcup \text{Per}(v)$ .*
- 3) *There is a nontrivial minimal set which is not a torusoid.*

*Proof.* Suppose that each nontrivial minimal set is a torusoid. Since  $v$  is nontrivial, there is a minimal set. If the minimal set is two dimensional, then Lemma 5.2 implies that 1). Otherwise we may assume that there are no two dimensional minimal sets. Since  $v$  is nontrivial, there is a periodic orbit. By flow box theorem, this has a neighbourhood without singularities. Thus there are infinitely many periodic orbits. By Lemma 5.3, we have that 2) holds.  $\square$

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